

# Stackelberg-Nash exact controllability for the Kuramoto-Sivashinsky equation

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## Outline

Introduction

Stackelberg-Nash control strategy for the KS equation

Extensions, open problems

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## Introduction

Stackelberg-Nash control strategy for the KS equation

Extensions, open problems

## Control system. Mono-objective vs Multi-objective

- ▶ Standard control problem:

$$\begin{cases} y_t + \mathcal{A}(y) = \mathbf{f} \mathbb{1}_{\mathcal{O}} & \text{in } \Omega \times (0, T) \\ y(0) = y_0 & \text{in } \Omega \end{cases}$$

▶  $\mathbf{f} \rightarrow y(T) = 0$  in  $\Omega$ .

- ▶ Control problem with more agents:

$$\begin{cases} y_t + \mathcal{A}(y) = \mathbf{f} \mathbb{1}_{\mathcal{O}} + \mathbf{v}_1 \mathbb{1}_{\mathcal{O}_1} + \mathbf{v}_2 \mathbb{1}_{\mathcal{O}_2} & \text{in } \Omega \times (0, T) \\ y(0) = y_0 & \text{in } \Omega \end{cases}$$

- ▶  $\mathbf{f} \rightarrow y(T) = 0$  in  $\Omega$ .
- ▶  $\mathbf{v}_1 \rightarrow y \approx y_{1,d}$  in  $\mathcal{O}_{1,d} \subset \Omega$ .
- ▶  $\mathbf{v}_2 \rightarrow y \approx y_{2,d}$  in  $\mathcal{O}_{2,d} \subset \Omega$ .

## Motivation: resort lake

$$\begin{cases} y_t + \mathcal{A}(y) = \textcolor{blue}{f} \mathbb{1}_{\mathcal{O}} + \textcolor{red}{v}_1 \mathbb{1}_{\mathcal{O}_1} + \textcolor{red}{v}_2 \mathbb{1}_{\mathcal{O}_2} & \text{in } \Omega \times (0, T) \\ y(0) = y_0 & \text{in } \Omega \end{cases}$$

- ▶ Lake represented by  $\Omega \subset \mathbb{R}^3$ .
- ▶  $y = y(x, t)$ : concentration of chemicals or of living organisms in the lake.
- ▶ Local agents  $P_1, P_2$  that can decide their policy  $v_1, v_2$  acting on  $\mathcal{O}_1, \mathcal{O}_2$  (The followers).
- ▶ The manager of the resort decides the policy  $f$  acting on  $\mathcal{O}$  (The leader).
- ▶ Goal of the manager: “Clean” the lake at time  $T$  ( $y(T) = 0$ ).
- ▶ Goal of the agents: To be close to a target concentration  $y_{i,d}$  in  $\mathcal{O}_{i,d} \subset \Omega$  during the time interval  $(0, T)$  ( $y \approx y_{i,d}$  in  $\mathcal{O}_{i,d}$ ).
- ▶ Other applications: Heat control, fluid mechanics, finances...  
Some authors: Lions, Díaz–Lions, Glowinski–Periaux–Ramos,  
Guillén-González–Marques-Lopes–Rojas-Medar,  
Araruna–Fernández-Cara–Santos.

## Kuramoto-Sivashinsky equation

Let  $Q := (0, L) \times (0, T)$ .  $\textcolor{blue}{f}$  the leader,  $\textcolor{red}{v}_1$  and  $\textcolor{red}{v}_2$  the followers.

$$\begin{cases} y_t + y_{xxxx} + \lambda y_{xx} + yy_x = \textcolor{blue}{f} \mathbb{1}_{\mathcal{O}} + \textcolor{red}{v}_1 \mathbb{1}_{\mathcal{O}_1} + \textcolor{red}{v}_2 \mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ y|_{x=0} = y_x|_{x=0} = 0 = y|_{x=L} = y_x|_{x=L} & \text{in } (0, T) \\ y(0) = y_0 & \text{in } (0, L) \end{cases}$$

Consider the functionals ( $i = 1, 2$ ):  $\alpha_i > 0, \mu_i > 0$

$$J_i(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2) = \frac{\alpha_i}{2} \iint_{\mathcal{O}_{i,d} \times (0, T)} |y - y_{i,d}|^2 \, dx \, dt + \frac{\mu_i}{2} \iint_{\mathcal{O}_i \times (0, T)} |\textcolor{red}{v}_i|^2 \, dx \, dt$$

- ▶ Task of the followers:  $y \approx y_{i,d}$  in  $\mathcal{O}_{i,d}$  with “little effort”

$$\min J_i(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2), \quad i = 1, 2.$$

- ▶ Task of the leader:  $y(T) = 0$  in  $\Omega$  (or  $y(T) = \bar{y}(T)$ ,  $\bar{y}$  an uncontrolled trajectory).

# Kuramoto-Sivashinsky equation

$$\begin{cases} y_t + y_{xxxx} + \lambda y_{xx} + yy_x = f \mathbb{1}_{\mathcal{O}} & \text{in } Q \\ y|_{x=0} = y_x|_{x=0} = 0 = y|_{x=L} = y_x|_{x=L} & \text{in } (0, T) \\ y(0) = y_0 & \text{in } (0, L) \end{cases}$$

Several controllability results:

- ▶ distributed controls
- ▶ boundary controls
- ▶ systems
- ▶ other boundary conditions

Authors: Cerpa, Mercado, Pazoto, Guzmán, Gao, C..

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## The Stackelberg-Nash strategy

$$\begin{cases} y_t + y_{xxxx} + \lambda y_{xx} + yy_x = \textcolor{blue}{f} \mathbb{1}_\mathcal{O} + \textcolor{red}{v}_1 \mathbb{1}_{\mathcal{O}_1} + \textcolor{red}{v}_2 \mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ y|_{x=0} = y_{x|x=0} = 0 = y|_{x=L} = y_{x|x=L} & \text{in } (0, T) \\ y(0) = y_0 & \text{in } (0, L) \end{cases}$$

- **Step 1:** For fixed  $\textcolor{blue}{f}$ , find a Nash equilibrium  $(\textcolor{red}{v}_1, \textcolor{red}{v}_2)$ :

$$J_1(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2) = \min_{\hat{v}_1} J_1(\textcolor{blue}{f}; \hat{v}_1, \textcolor{red}{v}_2), \quad J_2(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2) = \min_{\hat{v}_2} J_2(\textcolor{blue}{f}; \textcolor{red}{v}_1, \hat{v}_2)$$

Of course, this equilibrium depends on  $f$ :  $\textcolor{red}{v}_1 = v_1(f)$ ,  $\textcolor{red}{v}_2 = v_2(f)$ .

- **Step 2:** Find  $f$  such that  $y(T) = \bar{y}(T)$ , where  $\bar{y}$  solves

$$\begin{cases} \bar{y}_t + \bar{y}_{xxxx} + \lambda \bar{y}_{xx} + \bar{y}\bar{y}_x = 0 & \text{in } Q \\ \bar{y}|_{x=0} = \bar{y}_{x|x=0} = 0 = \bar{y}|_{x=L} = \bar{y}_{x|x=L} & \text{in } (0, T) \\ \bar{y}(0) = \bar{y}_0 & \text{in } (0, L) \end{cases}$$

This is the *Stackelberg-Nash strategy*.

## Stackelberg-Nash strategy

Change of variables:  $z := y - \bar{y}$

$$\begin{cases} z_t + z_{xxxx} + \lambda z_{xx} + zz_x + (\bar{y}z)_x = \textcolor{blue}{f}\mathbf{1}_{\mathcal{O}} + \textcolor{red}{v}_1\mathbf{1}_{\mathcal{O}_1} + \textcolor{red}{v}_2\mathbf{1}_{\mathcal{O}_2} & \text{in } Q \\ z|_{x=0} = z_{x|x=0} = 0 = z|_{x=L} = z_{x|x=L} & \text{in } (0, T) \\ z(0) = y_0 - \bar{y}_0 & \text{in } (0, L) \end{cases}$$

- ▶  $z_{i,d} := \bar{y} - y_{i,d}$

$$J_i(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2) = \frac{\alpha_i}{2} \iint_{\mathcal{O}_{i,d} \times (0,T)} |z - z_{i,d}|^2 \, dx \, dt + \frac{\mu_i}{2} \iint_{\mathcal{O}_i \times (0,T)} |\textcolor{red}{v}_i|^2 \, dx \, dt$$

- ▶ For the leader is a null controllability problem:  $\textcolor{blue}{z}(T) = 0$ , and the followers intend to be a Nash equilibrium for  $J_i$ ,  $i = 1, 2$ .

## Linear equation

Let us consider a linearized version of the KS equation: ( $\bar{y} \equiv 0$ )

$$\begin{cases} z_t + z_{xxxx} + \lambda z_{xx} = f \mathbb{1}_{\mathcal{O}} + v_1 \mathbb{1}_{\mathcal{O}_1} + v_2 \mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ z|_{x=0} = z_x|_{x=0} = 0 = z|_{x=L} = z_x|_{x=L} & \text{in } (0, T) \\ z(0) = y_0 - \bar{y}_0 & \text{in } (0, L) \end{cases}$$

- Nash equilibrium is equivalent to (due to the convexity of  $J_i$ )

$$\begin{cases} J'_1(f; v_1, v_2)(\hat{v}_1, 0) = 0 & \forall \hat{v}_1 \in L^2(\mathcal{O}_1 \times (0, T)) \\ J'_2(f; v_1, v_2)(0, \hat{v}_2) = 0 & \forall \hat{v}_2 \in L^2(\mathcal{O}_2 \times (0, T)) \end{cases}$$

- Characterization of Nash equilibrium: Optimality system

$$\begin{cases} z_t + z_{xxxx} + \lambda z_{xx} = f \mathbb{1}_{\mathcal{O}} - \frac{1}{\mu_1} \phi^1 \mathbb{1}_{\mathcal{O}_1} - \frac{1}{\mu_2} \phi^2 \mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ -\phi_t^i + \phi_{xxxx}^i + \lambda \phi_{xx}^i = \alpha_i(z - z_{i,d}) \mathbb{1}_{\mathcal{O}_{i,d}} & \text{in } Q \\ z(0) = y_0 - \bar{y}_0, \quad \phi^i(T) = 0 & \text{in } (0, L) \end{cases}$$

► Followers:  $v_1 = -\frac{1}{\mu_1} \phi^1$  and  $v_2 = -\frac{1}{\mu_2} \phi^2$ .

► Leader:  $z(T) = 0$ .

## Result for the linear system

### Theorem

(Santos, C.) Assume:

- ▶  $\mu_i \gg 1$  (existence of Nash equilibrium).
- ▶  $\iint_{\mathcal{O}_{i,d}} \rho(t)^2 |z_{i,d}|^2 dx dt < +\infty$ , with  $\lim_{t \rightarrow T} \rho(t) = +\infty$ .
- ▶  $\mathcal{O}_{i,d} \cap \mathcal{O} \neq \emptyset$ ,  $i = 1, 2$  (No assumptions on  $\mathcal{O}_i$ ).

There exist (a leader control)  $f \in L^2(\mathcal{O} \times (0, T))$  and a Nash equilibrium for  $J_i$  (followers) ( $v_1(f)$ ,  $v_2(f)$ ) such that  $z(T) = 0$  in  $\Omega$ .

- On the assumption  $\mathcal{O}_{i,d} \cap \mathcal{O} \neq \emptyset$ . Two cases:
  1.  $\mathcal{O}_{1,d} = \mathcal{O}_{2,d}$ .
  2.  $\mathcal{O}_{1,d} \neq \mathcal{O}_{2,d}$ :  $\mathcal{O}_{1,d} \cap \mathcal{O} \neq \mathcal{O}_{2,d} \cap \mathcal{O}$  (different inside  $\mathcal{O}$ ).

## Adjoint system

$$\begin{cases} -\psi_t + \psi_{xxxx} + \lambda\psi_{xx} = \alpha_1\gamma^1\mathbb{1}_{\mathcal{O}_{1,d}} + \alpha_2\gamma^2\mathbb{1}_{\mathcal{O}_{2,d}} & \text{in } Q \\ \gamma_t^i + \gamma_{xxxx}^i + \lambda\gamma_{xx}^i = -\frac{1}{\mu_i}\psi\mathbb{1}_{\mathcal{O}_i} & \text{in } Q \\ \psi(T) = \psi_T, \quad \gamma^i(0) = 0 & \text{in } (0, L) \end{cases}$$

Observability inequality:

$$\int_{\Omega} |\psi(0)|^2 dx + \sum_{i=1,2} \iint_Q \rho(t)^{-2} |\gamma^i|^2 dx dt \leq C \iint_{\mathcal{O} \times (0,T)} |\psi|^2 dx dt$$

Main tools:

- ▶ Energy estimates.
- ▶ Carleman inequalities for the KS equation (Cerpa, Mercado, Pazoto, Guzmán, Gao, C.).

## Observability inequality: general idea

$$\begin{cases} -\psi_t + \psi_{xxxx} + \lambda\psi_{xx} = \alpha_1\gamma^1\mathbb{1}_{\mathcal{O}_{1,d}} + \alpha_2\gamma^2\mathbb{1}_{\mathcal{O}_{2,d}} & \text{in } Q \\ \gamma_t^i + \gamma_{xxxx}^i + \lambda\gamma_{xx}^i = -\frac{1}{\mu_i}\psi\mathbb{1}_{\mathcal{O}_i} & \text{in } Q \end{cases}$$

Classic approach:

- ▶ Carleman estimate for  $\psi$ ,  $\gamma^1$  and  $\gamma^2$ :

$$I(\psi) + I(\gamma^1) + I(\gamma^2) \leq C \iint_{\omega \times (0,T)} \rho(|\psi|^2 + |\gamma^1|^2 + |\gamma^2|^2) dx dt, \quad \omega \subset\subset \mathcal{O}_{i,d} \cap \mathcal{O}$$

Here,  $I(\cdot)$  is the weighted energy, and  $\rho$  is the weight with critical points only in  $\omega$ .

- ▶ Write  $\gamma^1$  and  $\gamma^2$  in terms of  $\psi$  using the coupling in  $\mathcal{O}_{i,d} \cap \mathcal{O}$ ,  $i = 1, 2$ .
- ▶ Problem: we have a “loop”

$$I_\omega(\gamma^1) \lesssim I_\omega(\psi) + I_\omega(\gamma^2)$$

$$I_\omega(\gamma^2) \lesssim I_\omega(\psi) + I_\omega(\gamma^1)$$

## Observability inequality. Case $\mathcal{O}_{1,d} = \mathcal{O}_{2,d}$

- Solution 1: If  $\mathcal{O}_{1,d} = \mathcal{O}_{2,d} = \mathcal{O}_d$ , let  $h := \alpha_1\gamma^1 + \alpha_2\gamma^2$ .

$$\begin{cases} -\psi_t + \psi_{xxxx} + \lambda\psi_{xx} = h\mathbb{1}_{\mathcal{O}_d} & \text{in } Q \\ h_t + h_{xxxx} + \lambda h_{xx} = -\frac{1}{\mu_1}\psi\mathbb{1}_{\mathcal{O}_1} - \frac{1}{\mu_2}\psi\mathbb{1}_{\mathcal{O}_2} & \text{in } Q \end{cases}$$

Same approach:

- ▶  $\omega \subset\subset \mathcal{O}_d \cap \mathcal{O}$

$$I(\psi) + I(h) \leq C(I_\omega(\psi) + I_\omega(h)).$$

- ▶ Using the equation:  $I_\omega(h) \lesssim I_\omega(\psi)$ .
- ▶ From energy estimates ( $\mu_i \gg 1$ ,  $\gamma^i(0) = 0$ ):

$$\int_{\Omega} |\psi(0)|^2 dx + I(\psi) + I(h) \leq CI_\omega(\psi)$$

- ▶ Since  $\gamma^i(0) = 0$ , we have

$$\iint_Q \rho(t)^{-2} |\gamma^i|^2 dx dt \leq C \iint_{\mathcal{O}_i \times (0,T)} \rho(t)^{-2} |\psi|^2 dx dt \leq CI(\psi),$$

where  $\rho(t)$  is a non-decreasing function.

## Observability inequality. Case $\mathcal{O}_{1,d} \neq \mathcal{O}_{2,d}$

Suppose  $\mathcal{O}_{1,d} \cap \mathcal{O} \neq \mathcal{O}_{2,d} \cap \mathcal{O}$ .

$$\begin{cases} -\psi_t + \psi_{xxxx} + \lambda\psi_{xx} = \alpha_1\gamma^1\mathbb{1}_{\mathcal{O}_{1,d}} + \alpha_2\gamma^2\mathbb{1}_{\mathcal{O}_{2,d}} & \text{in } Q \\ \gamma_t^i + \gamma_{xxxx}^i + \lambda\gamma_{xx}^i = -\frac{1}{\mu_i}\psi\mathbb{1}_{\mathcal{O}_i} & \text{in } Q \end{cases}$$

- Solution 2: A way around the “loop situation”: Use two different weight functions (associated to  $\omega_1$  and  $\omega_2$ ).

- ▶ Carleman estimate for  $\omega_1 \subset\subset \mathcal{O}_{1,d} \cap \mathcal{O}$ , and  $\omega_1 \cap \mathcal{O}_{2,d} \neq \emptyset$ .
- ▶ Carleman estimate for  $\omega_2 \subset\subset \mathcal{O}_{2,d} \cap \mathcal{O}$ , and  $\omega_2 \cap \mathcal{O}_{1,d} \neq \emptyset$ .
- ▶ This way, they “do not see” each other:

$$I_{\omega_1}^1(\gamma^1) \lesssim I_{\omega_1}^1(\psi) \text{ and } I_{\omega_2}^2(\gamma^2) \lesssim I_{\omega_2}^2(\psi).$$

- This idea is due to S. Guerrero and M. C. Santos.

## Observability inequality. Case $\mathcal{O}_{1,d} \neq \mathcal{O}_{2,d}$

$$\begin{cases} -\psi_t + \psi_{xxxx} + \lambda\psi_{xx} = \alpha_1\gamma^1\mathbb{1}_{\mathcal{O}_{1,d}} + \alpha_2\gamma^2\mathbb{1}_{\mathcal{O}_{2,d}} & \text{in } Q \\ \gamma_t^i + \gamma_{xxxx}^i + \lambda\gamma_{xx}^i = -\frac{1}{\mu_i}\psi\mathbb{1}_{\mathcal{O}_i} & \text{in } Q \end{cases}$$

- We can obtain

$$I^1(\gamma^1) + I^2(\gamma^2) + I^1(\psi) \leq C(I_{\mathcal{O}}^1(\psi) + I_{\mathcal{O}}^2(\psi)).$$

- ▶ Weight functions should be equal outside  $\mathcal{O}$ , so we can compare the global terms coming from the Carleman estimates.
- ▶ Therefore, Carleman estimate for  $\psi$  should hide what happens inside  $\mathcal{O}$ : Carleman estimate for  $(\theta\psi)$ , where  $\theta$  is a cutoff function such that

$$\theta(x) = 0, x \in \mathcal{O}' \text{ and } \theta(x) = 1, x \in \Omega \setminus \mathcal{O}.$$

$\mathcal{O}'$  is such that  $\omega_i \subset\subset \mathcal{O}'$ .

- ▶ New Carleman estimate is needed with a less regular right-hand side.

## Non-linear equation

Back to the original equation...

$$\begin{cases} z_t + z_{xxxx} + \lambda z_{xx} + zz_x + (\bar{y}z)_x = \textcolor{blue}{f}\mathbb{1}_{\mathcal{O}} + \textcolor{red}{v}_1\mathbb{1}_{\mathcal{O}_1} + \textcolor{red}{v}_2\mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ z|_{x=0} = z_{x|x=0} = 0 = z|_{x=L} = z_{x|x=L} & \text{in } (0, T) \\ z(0) = y_0 - \bar{y}_0 & \text{in } (0, L) \end{cases}$$

$$J_i(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2) = \frac{\alpha_i}{2} \iint_{\mathcal{O}_{i,d} \times (0, T)} |z - z_{i,d}|^2 \, dx \, dt + \frac{\mu_i}{2} \iint_{\mathcal{O}_i \times (0, T)} |\textcolor{red}{v}_i|^2 \, dx \, dt$$

Here, we lose convexity of  $J_i$ . Let us weaken the definition of Nash equilibrium.

- $(\textcolor{red}{v}_1, \textcolor{red}{v}_2)$  is a Nash quasi-equilibrium if

$$\begin{cases} J'_1(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2)(\hat{v}_1, 0) = 0 & \forall \hat{v}_1 \in L^2(\mathcal{O}_1 \times (0, T)) \\ J'_2(\textcolor{blue}{f}; \textcolor{red}{v}_1, \textcolor{red}{v}_2)(0, \hat{v}_2) = 0 & \forall \hat{v}_2 \in L^2(\mathcal{O}_2 \times (0, T)) \end{cases}$$

## A local result

- Optimality system:  $v_1 = -\frac{1}{\mu_1}\phi^1$  and  $v_2 = -\frac{1}{\mu_2}\phi^2$  where

$$\begin{cases} z_t + z_{xxxx} + \lambda z_{xx} + zz_x + (\bar{y}z)_x = f \mathbf{1}_{\mathcal{O}} - \frac{1}{\mu_1}\phi^1 \mathbf{1}_{\mathcal{O}_1} - \frac{1}{\mu_2}\phi^2 \mathbf{1}_{\mathcal{O}_2} & \text{in } Q \\ -\phi_t^i + \phi_{xxxx}^i + \lambda \phi_{xx}^i - (z + \bar{y})\phi_x^i = \alpha_i(z - z_{i,d}) \mathbf{1}_{\mathcal{O}_{i,d}} & \text{in } Q \\ z(0) = y_0 - \bar{y}_0, \quad \phi^i(T) = 0, \quad z(T) = 0 & \text{in } (0, L) \end{cases}$$

### Theorem

(Santos, C.) Assume  $\mu_i \gg 1$ ,  $\mathcal{O}_{i,d} \cap \mathcal{O} \neq \emptyset$ ,  $i = 1, 2$  and  $\lim_{t \rightarrow T} \rho(t) = +\infty$ .

There exists  $\delta > 0$  such that if

$$\|y_0 - \bar{y}_0\|_{L^2} + \sum_{i=1,2} \|\rho(\bar{y} - y_{i,d})\|_{L^2} < \delta,$$

then, there exist (a leader control)  $f \in L^2(\mathcal{O} \times (0, T))$  and a Nash quasi-equilibrium (followers)  $(v_1(f), v_2(f))$  such that  $z(T) = 0$  in  $\Omega$  ( $y(T) = \bar{y}(T)$ ).

## A local result

- Null controllability of the linearized system

$$\begin{cases} z_t + z_{xxxx} + \lambda z_{xx} + (\bar{y}z)_x = F^0 + \textcolor{blue}{f}\mathbb{1}_{\mathcal{O}} - \frac{1}{\mu_1}\phi^1\mathbb{1}_{\mathcal{O}_1} - \frac{1}{\mu_2}\phi^2\mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ -\phi_t^i + \phi_{xxxx}^i + \lambda\phi_{xx}^i - \bar{y}\phi_x^i = F^i + \alpha_i(z - z_{i,d})\mathbb{1}_{\mathcal{O}_{i,d}} & \text{in } Q \\ z(0) = y_0 - \bar{y}_0, \quad \phi^i(T) = 0 & \text{in } (0, L) \end{cases}$$

where  $F^0, F^1, F^2$  belong to appropriate weighted spaces.

- Adjoint system

$$\begin{cases} -\psi_t + \psi_{xxxx} + \lambda\psi_{xx} - \bar{y}\psi_x = G^0 + \alpha_1\gamma^1\mathbb{1}_{\mathcal{O}_{1,d}} + \alpha_2\gamma^2\mathbb{1}_{\mathcal{O}_{2,d}} & \text{in } Q \\ \gamma_t^i + \gamma_{xxxx}^i + \lambda\gamma_{xx}^i + (\bar{y}\gamma^i)_x = G^i - \frac{1}{\mu_i}\psi\mathbb{1}_{\mathcal{O}_i} & \text{in } Q \\ \psi(T) = \psi_T, \quad \gamma^i(0) = 0 & \text{in } (0, L) \end{cases}$$

where  $G^0, G^1, G^2 \in L^2(Q)$ .

## A local result

- If we assume  $\bar{y}, \bar{y}_x \in L^\infty$ , we can prove the observability inequality

$$\begin{aligned} & \int_{\Omega} |\psi(0)|^2 dx + \iint_Q \rho(t)^{-2} |\psi|^2 dx dt + \sum_{i=1,2} \iint_Q \rho(t)^{-2} |\gamma^i|^2 dx dt \\ & \leq C \iint_{\mathcal{O} \times (0,T)} \rho(t)^{-2} |\psi|^2 dx dt + C \iint_Q \rho(t)^{-2} (|G^0|^2 + |G^1|^2 + |G^2|^2) dx dt. \end{aligned}$$

- We conclude using a local inversion argument.

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Extensions, open problems

## Extension, open problems

- Distributed leader, boundary followers

$$\begin{cases} y_t + y_{xxxx} + \lambda y_{xx} + yy_x = f \mathbb{1}_{\mathcal{O}} & \text{in } Q \\ y|_{x=0} = v_1, \quad y|_{x=L} = v_2 & \text{in } (0, T) \\ y_{x|x=0} = v_3, \quad y_{x|x=L} = v_4 & \text{in } (0, T) \\ y(0) = y_0 & \text{in } (0, L) \end{cases}$$

- Boundary leader, distributed followers

$$\begin{cases} y_t + y_{xxxx} + \lambda y_{xx} + yy_x = v_1 \mathbb{1}_{\mathcal{O}_1} + v_2 \mathbb{1}_{\mathcal{O}_2} & \text{in } Q \\ y|_{x=0} = f, \quad y|_{x=L} = 0 & \text{in } (0, T) \\ y_{x|x=0} = 0, \quad y_{x|x=L} = 0 & \text{in } (0, T) \\ y(0) = y_0 & \text{in } (0, L) \end{cases}$$

Lots of options: Location of the leader, quantity of followers...

- Regularity of the target trajectory. Does the results hold for  $\bar{y}$  satisfying only  $\bar{y} \in L^\infty$ ?

Some additional sharp Carleman estimates are required...

## Extension, open problems

- A variation of the problem:

Insensitizing controls following a Stackelberg-Nash strategy.

$$\begin{cases} y_t - \Delta y = \textcolor{blue}{f} \mathbf{1}_{\mathcal{O}} + \textcolor{red}{v}_1 \mathbf{1}_{\mathcal{O}_1} + \textcolor{red}{v}_2 \mathbf{1}_{\mathcal{O}_2} & \text{in } \Omega \times (0, T) \\ y = 0 & \text{in } \partial\Omega \times (0, T) \\ y(0) = y_0 + \tau \hat{y}_0 & \text{in } \Omega \end{cases}$$

where  $\tau \hat{y}_0$  is an unknown perturbation of  $y_0$ .

- ▶ Given  $\textcolor{blue}{f}$ , find a Nash equilibrium  $(\textcolor{red}{v}_1, \textcolor{red}{v}_2)$ .
- ▶ Find  $\textcolor{blue}{f}$  insensitizing the functional

$$J(\textcolor{blue}{f}; y) = \frac{1}{2} \iint_{\mathcal{O}' \times (0, T)} |y|^2 \, dx \, dt, \quad \mathcal{O}' \subset \Omega$$

with respect to  $\tau \hat{y}_0$ .

This is equivalent to the null controllability of a system of 6 equations! (we are still trying...)

¡Gracias!