

# Insensitizing controls for the Boussinesq system with a reduced number of controls

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# Outline

Introduction

Insensitizing controls for the Boussinesq system

Some comments, perspectives

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## Introduction

## Insensitizing controls for the Boussinesq system

## Some comments, perspectives

## Control system

A control system governed by a partial differential equation can be formulated as

$$\begin{cases} y'(t) = f(t, y(t), v(t)), & t > 0 \\ y(0) = y_0. \end{cases}$$

- ▶  $y(t) \in \mathcal{X}$  is the state of the system.
- ▶  $v(t) \in \mathcal{U}$  is the control.
- ▶  $\mathcal{X}, \mathcal{U}$  are the state and admissible controls spaces, respectively.
- ▶ Controllability problem: Given  $T$  and  $y_0$ , find  $v(t)$  driving  $y(t)$  to a target  $y_1$  at time  $T$ , that is,  $y(T) = y_1$ .
- ▶ Controllability types:
  - ▶ Exact.
  - ▶ Null:  $y(T) = 0$ .
  - ▶ Approximate:  $y(T)$  close to  $y_1$ .
  - ▶ Local:  $y_0$  close to  $y_1$ .

## Example model: Heat equation

Consider a regular open  $\Omega \subset \mathbb{R}^N$  and  $\omega \subset \Omega$  (control domain)

$$\begin{cases} y_t - \Delta y = v \mathbb{1}_\omega & (x, t) \in \Omega \times (0, T), \\ y = 0 & x \in \partial\Omega, \\ y(0) = y^0 & x \in \Omega, \end{cases}$$

$y_0 \in L^2(\Omega)$  and  $\mathbb{1}_\omega(x)$  the characteristic function of  $\omega$ .

- We look for  $v \in L^2(\omega \times (0, T))$  such that  $y(T) = 0$  and

$$\|v\|_{L^2(\omega \times (0, T))} \leq C \|y_0\|_{L^2(\Omega)}.$$

- By linearity, this is equivalent to the control to the trajectories: find  $v \in L^2(\omega \times (0, T))$  such that  $y(T) = \bar{y}(T)$ , where  $\bar{y}$  is solution of

$$\begin{cases} \bar{y}_t - \Delta \bar{y} = 0 & (x, t) \in \Omega \times (0, T), \\ \bar{y} = 0 & x \in \partial\Omega, \\ \bar{y}(0) = \bar{y}^0 & x \in \Omega. \end{cases}$$

## Observability and Carleman estimates

Null controllability is equivalent to the observability inequality: There exists  $C > 0$  such that

$$\int_{\Omega} |\varphi(0)|^2 dx \leq C \iint_{\omega \times (0,T)} |\varphi|^2 dx dt$$

where  $\varphi$  is the solution of the adjoint equation

$$\begin{cases} -\varphi_t - \Delta \varphi = 0 & (x, t) \in \Omega \times (0, T), \\ \varphi = 0 & x \in \partial\Omega, \\ \varphi(T) = \varphi_T \in L^2(\Omega) & x \in \Omega. \end{cases}$$

- Carleman estimates: They have the form

$$\iint_{\Omega \times (0,T)} \rho |\varphi|^2 dx dt \leq C \iint_{\Omega \times (0,T)} \rho |\varphi_t + \Delta \varphi|^2 dx dt + C \iint_{\omega \times (0,T)} \rho |\varphi|^2 dx dt$$

- ▶  $\rho = \rho(x, t)$  is a continuous and positive function.
- ▶ To obtain observability, we combine it with the energy estimate

$$\int_{\Omega} |\varphi(0)|^2 dx \leq \int_{\Omega} |\varphi(t)|^2 dx, \quad t \in (0, T).$$

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## Controllability of a system of two heat equations

Consider the system

$$\begin{cases} y_t - \Delta y = z + v \mathbf{1}_\omega & (x, t) \in \Omega \times (0, T), \\ z_t - \Delta z = y + u \mathbf{1}_\omega & (x, t) \in \Omega \times (0, T), \\ y = z = 0 & x \in \partial\Omega, \\ y(0) = y^0, \quad z(0) = z^0 & x \in \Omega. \end{cases}$$

- We look for  $v, u \in L^2(\omega \times (0, T))$  such that  $y(T) = z(T) = 0$ .
- Observability inequality: There exists  $C > 0$  such that

$$\int_{\Omega} (|\varphi(0)|^2 + |\psi(0)|^2) dx \leq C \iint_{\omega \times (0, T)} (|\varphi|^2 + |\psi|^2) dx dt$$

where  $(\varphi, \psi)$  is the solution of the adjoint equation

$$\begin{cases} -\varphi_t - \Delta \varphi = \psi & (x, t) \in \Omega \times (0, T), \\ -\psi_t - \Delta \psi = \varphi & (x, t) \in \Omega \times (0, T), \\ \varphi = \psi = 0 & x \in \partial\Omega, \\ \varphi(T) = \varphi_T, \quad \psi(T) = \psi_T & x \in \Omega. \end{cases}$$



## Controllability of a system of two heat equations

- The idea is to combine Carleman inequalities for  $\varphi$  and  $\psi$ :

$$\iint_{\Omega \times (0,T)} \rho_1 |\varphi|^2 dx dt \leq C \iint_{\Omega \times (0,T)} \rho_2 |\psi|^2 dx dt + C \iint_{\omega \times (0,T)} \rho_1 |\varphi|^2 dx dt$$

$$\iint_{\Omega \times (0,T)} \rho_1 |\psi|^2 dx dt \leq C \iint_{\Omega \times (0,T)} \rho_2 |\varphi|^2 dx dt + C \iint_{\omega \times (0,T)} \rho_1 |\psi|^2 dx dt$$

We can choose the weights to satisfy  $\rho_2 \leq \frac{1}{4C} \rho_1$ . Adding both estimates:

$$\iint_{\Omega \times (0,T)} \rho_1 (|\varphi|^2 + |\psi|^2) dx dt \leq C \iint_{\omega \times (0,T)} \rho_1 (|\varphi|^2 + |\psi|^2) dx dt.$$

## Controllability of a system of two heat equations with one control

Consider the system with only one control:

$$\begin{cases} y_t - \Delta y = z + v \mathbf{1}_\omega & (x, t) \in \Omega \times (0, T), \\ z_t - \Delta z = y & (x, t) \in \Omega \times (0, T), \\ y = z = 0 & x \in \partial\Omega, \\ y(0) = y^0, \quad z(0) = z^0 & x \in \Omega. \end{cases}$$

- We look for  $v \in L^2(\omega \times (0, T))$  such that  $y(T) = z(T) = 0$ .
- Observability inequality: There exists  $C > 0$  such that

$$\int_{\Omega} (|\varphi(0)|^2 + |\psi(0)|^2) dx \leq C \iint_{\omega \times (0, T)} |\varphi|^2 dx dt$$

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$$\begin{cases} -\varphi_t - \Delta \varphi = \psi & (x, t) \in \Omega \times (0, T), \\ -\psi_t - \Delta \psi = \varphi & (x, t) \in \Omega \times (0, T), \\ \varphi = \psi = 0 & x \in \partial\Omega, \\ \varphi(T) = \varphi_T, \quad \psi(T) = \psi_T & x \in \Omega. \end{cases}$$

## Controllability of a system of two heat equations with one control

- Again, the idea is to combine Carleman inequalities for  $\varphi$  and  $\psi$ :

$$\iint_{\Omega \times (0,T)} \rho_1 |\varphi|^2 dx dt \leq C \iint_{\Omega \times (0,T)} \rho_2 |\psi|^2 dx dt + C \iint_{\omega \times (0,T)} \rho_1 |\varphi|^2 dx dt$$

$$\iint_{\Omega \times (0,T)} \rho_1 |\psi|^2 dx dt \leq C \iint_{\Omega \times (0,T)} \rho_2 |\varphi|^2 dx dt + C \iint_{\omega \times (0,T)} \rho_1 |\psi|^2 dx dt$$

We can choose the weights to satisfy  $\rho_2 \leq \frac{1}{4C} \rho_1$ , but we need to estimate the local term of  $\psi$ . We use the equation ( $\psi = -\varphi_t - \Delta \varphi$ )

$$\begin{aligned} \iint_{\omega \times (0,T)} \rho_1 |\psi|^2 dx dt &= \iint_{\omega \times (0,T)} \rho_1 \psi (-\varphi_t - \Delta \varphi) dx dt \\ &\leq \frac{1}{8C} \iint_{\omega \times (0,T)} \rho_1 (|\psi_t|^2 + |\Delta \psi|^2) dx dt + C \iint_{\omega \times (0,T)} \rho_1 |\varphi|^2 dx dt. \end{aligned}$$

## Controllability of a system of two heat equations with one control

Consider the system with only one control and couplings of first order:

$$\begin{cases} y_t - y_{xx} = z_x + v \mathbf{1}_\omega & (x, t) \in \Omega \times (0, T), \\ z_t - z_{xx} = y_x & (x, t) \in \Omega \times (0, T), \\ y = z = 0 & x \in \partial\Omega, \\ y(0) = y^0, \quad z(0) = z^0 & x \in \Omega. \end{cases}$$

- We look for  $v \in L^2(\omega \times (0, T))$  such that  $y(T) = z(T) = 0$ .
- Observability inequality: There exists  $C > 0$  such that

$$\int_{\Omega} (|\varphi(0)|^2 + |\psi(0)|^2) dx \leq C \iint_{\omega \times (0, T)} |\varphi|^2 dx dt$$

where  $(\varphi, \psi)$  is the solution of the adjoint equation

$$\begin{cases} -\varphi_t - \varphi_{xx} = -\psi_x & (x, t) \in \Omega \times (0, T), \\ -\psi_t - \psi_{xx} = -\varphi_x & (x, t) \in \Omega \times (0, T), \\ \varphi = \psi = 0 & x \in \partial\Omega, \\ \varphi(T) = \varphi_T, \quad \psi(T) = \psi_T & x \in \Omega. \end{cases}$$

## Controllability of a system of two heat equations with one control

- How to use the equation now to eliminate the local term of  $\psi$ ?

$$\iint_{\Omega \times (0,T)} \rho_1 |\psi|^2 dx dt \leq C \iint_{\Omega \times (0,T)} \rho_2 |\varphi_x|^2 dx dt + C \iint_{\omega \times (0,T)} \rho_1 |\psi|^2 dx dt$$

- We need Carleman estimates with non-homogenous boundary conditions.

$$\begin{cases} -(\psi_x)_t - (\psi_x)_{xx} = -\varphi_{xx} & (x,t) \in \Omega \times (0,T), \\ \psi_x \neq 0 & x \in \partial\Omega. \end{cases}$$

$$\iint_{\Omega \times (0,T)} \rho_1 |\psi_x|^2 \leq \text{b.t.}(\psi) + C \iint_{\Omega \times (0,T)} \rho_2 |\varphi_{xx}|^2 + C \iint_{\omega \times (0,T)} \rho_1 |\psi_x|^2$$

- ▶  $\text{b.t.}(\psi)$  are estimated with regularity results.
- ▶ Now we can use the equation  $\psi_x = \varphi_t + \varphi_{xx}$ .

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## Insensitizing controls for the Boussinesq system

- ▶  $\Omega$  bounded connected regular open subset of  $\mathbb{R}^3$ ,  $T > 0$ .
- ▶  $\omega \subset \Omega$  (**control set**),  $Q := \Omega \times (0, T)$ ,  $\Sigma := \partial\Omega \times (0, T)$

We consider the Boussinesq system with incomplete data:

$$\begin{cases} y_t - \Delta y + (y \cdot \nabla)y + \nabla p = f + \mathbf{v}\mathbb{1}_\omega + (0, 0, \theta), & \nabla \cdot y = 0 & \text{in } Q, \\ \theta_t - \Delta \theta + y \cdot \nabla \theta = f_0 + v_0\mathbb{1}_\omega & & \text{in } Q, \\ y = 0, \quad \theta = 0 & & \text{on } \Sigma, \\ y(0) = y^0 + \tau \hat{y}_0, \quad \theta(0) = \theta^0 + \tau \hat{\theta}_0 & & \text{in } \Omega. \end{cases}$$

where  $\tau$  is a small constant and  $\|\hat{y}^0\|_{L^2(\Omega)^3} = \|\hat{\theta}^0\|_{L^2(\Omega)} = 1$ . **Unknown.**

**Insensitizing control problem:** To find controls  $\mathbf{v}$  and  $v_0$  in  $L^2(\omega \times (0, T))$  such that the functional (**Sentinel**)

$$J_\tau(y, \theta) := \frac{1}{2} \iint_{\mathcal{O} \times (0, T)} (|y|^2 + |\theta|^2) \, dx \, dt, \quad \mathcal{O} \subset \Omega \text{ (**Observation set**)}$$

is not affected by the **uncertainty of the initial data**, that is,

$$\left. \frac{\partial J_\tau(y, \theta)}{\partial \tau} \right|_{\tau=0} = 0 \quad \forall (\hat{y}_0, \hat{\theta}_0) \in L^2(\Omega)^4 \text{ s.t. } \|\hat{y}_0\|_{L^2(\Omega)^3} = \|\hat{\theta}_0\|_{L^2(\Omega)} = 1.$$

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## A cascade system

The previous condition is equivalent to the following **null controllability problem**: To find a control  $v$  and  $v_0$  such that  $z(0) = 0$  and  $q(0) = 0$ , where

$$\begin{cases} w_t - \Delta w + (w \cdot \nabla)w + \nabla p_0 = f + v \mathbb{1}_\omega + (0, 0, r), & \nabla \cdot w = 0 & \text{in } Q, \\ -z_t - \Delta z + (z \cdot \nabla^t)w - (w \cdot \nabla)z + \nabla p_1 = w \mathbb{1}_\mathcal{O}, & \nabla \cdot z = 0 & \text{in } Q, \\ r_t - \Delta r + (w \cdot \nabla)r = f_0 + v_0 \mathbb{1}_\omega & & \text{in } Q, \\ -q_t - \Delta q - (w \cdot \nabla)q = z_3 + r \mathbb{1}_\mathcal{O} & & \text{in } Q, \end{cases}$$

with boundary and initial conditions:

$$\begin{cases} w = z = 0, & r = q = 0 & \text{on } \Sigma, \\ w(0) = y^0, & z(T) = 0, & r(0) = \theta^0, & q(T) = 0 & \text{in } \Omega. \end{cases}$$

We are interested in controls of the form

1.  $v = (v_1, 0, 0)$ ,  $v_0 \neq 0$
2.  $v = (v_1, 0, v_3)$  and  $v_0 = 0$ .

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## Null controllability result 1

$$\left\{ \begin{array}{ll} w_t - \Delta w + (w \cdot \nabla)w + \nabla p_0 = f + v \mathbb{1}_\omega + (0, 0, r), & \nabla \cdot w = 0 \quad \text{in } Q, \\ -z_t - \Delta z + (z \cdot \nabla^t)w - (w \cdot \nabla)z + \nabla p_1 = w \mathbb{1}_\mathcal{O}, & \nabla \cdot z = 0 \quad \text{in } Q, \\ r_t - \Delta r + (w \cdot \nabla)r = f_0 + v_0 \mathbb{1}_\omega & \text{in } Q, \\ -q_t - \Delta q - (w \cdot \nabla)q = z_3 + r \mathbb{1}_\mathcal{O} & \text{in } Q, \\ w = z = 0, \quad r = q = 0 & \text{on } \Sigma, \\ w(0) = y^0, \quad z(T) = 0, \quad r(0) = \theta^0, \quad q(T) = 0 & \text{in } \Omega. \end{array} \right.$$

**Theorem<sup>1</sup>.** Let  $y^0 = 0$ ,  $\theta^0 = 0$  and  $\mathcal{O} \cap \omega \neq \emptyset$ . There exists  $\delta > 0$  such that if  $\|e^{K/t^{10}}(f, f_0)\|_{L^2(Q)^4} < \delta$ , there exist controls  $(v, v_0)$  in  $L^2(\omega \times (0, T))$  of the form  $v = (v_1, 0, 0)$ ,  $v_0 \neq 0$  such that  $z(0) = 0$  and  $q(0) = 0$ .

<sup>1</sup>C., Guerrero, Gueye. Insensitizing controls with two vanishing components for the three-dimensional Boussinesq system. *ESAIM Control Optim. Calc. Var.*, 2015

## Null controllability result 2

$$\left\{ \begin{array}{ll} w_t - \Delta w + (w \cdot \nabla)w + \nabla p_0 = f + v \mathbb{1}_\omega + (0, 0, r), & \nabla \cdot w = 0 \quad \text{in } Q, \\ -z_t - \Delta z + (z \cdot \nabla^t)w - (w \cdot \nabla)z + \nabla p_1 = w \mathbb{1}_\mathcal{O}, & \nabla \cdot z = 0 \quad \text{in } Q, \\ r_t - \Delta r + (w \cdot \nabla)r = f_0 & \text{in } Q, \\ -q_t - \Delta q - (w \cdot \nabla)q = z_3 + r \mathbb{1}_\mathcal{O} & \text{in } Q, \\ w = z = 0, \quad r = q = 0 & \text{on } \Sigma, \\ w(0) = y^0, \quad z(T) = 0, \quad r(0) = \theta^0, \quad q(T) = 0 & \text{in } \Omega. \end{array} \right.$$

**Theorem<sup>2</sup>.** Let  $y^0 = 0$ ,  $\theta^0 = 0$  and  $\mathcal{O} \cap \omega \neq \emptyset$ . There exists  $\delta > 0$  such that if  $\|e^{K/t^{10}}(f, f_0)\|_{L^2(Q)^4} < \delta$ , there exist a control  $v$  in  $L^2(\omega \times (0, T))$  of the form  $v = (v_1, 0, v_3)$  such that  $z(0) = 0$  and  $q(0) = 0$ .

<sup>2</sup>C.. Insensitizing controls for the Boussinesq system with no control on the temperature equation. *To appear in Adv. Differential Equations.*

## Method of proof

- ▶ Linearization around zero
- ▶ Null controllability of the linearized system (Main part of the proof).  
**Main tool:** Carleman estimate for the adjoint system with source terms.
- ▶ Inverse mapping theorem for the nonlinear system

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## Linearized system

The linearized system around zero with source terms:

$$\left\{ \begin{array}{ll} w_t - \Delta w + \nabla p_0 = f^w + v \mathbb{1}_\omega + (0, 0, r), & \nabla \cdot w = 0 \quad \text{in } Q, \\ -z_t - \Delta z + \nabla p_1 = f^z + w \mathbb{1}_\mathcal{O}, & \nabla \cdot z = 0 \quad \text{in } Q, \\ r_t - \Delta r = f^r + v_0 \mathbb{1}_\omega & \text{in } Q, \\ -q_t - \Delta q = f^q + z_3 + r \mathbb{1}_\mathcal{O} & \text{in } Q, \end{array} \right.$$

with

$$\left\{ \begin{array}{ll} w = z = 0, \quad r = q = 0 & \text{on } \Sigma, \\ w(0) = 0, \quad z(T) = 0, \quad r(0) = 0, \quad q(T) = 0 & \text{in } \Omega. \end{array} \right.$$

We want to prove  $z(0) = 0$  and  $q(0) = 0$  with controls of the form

$$v = (v_1, 0, 0), v_0 \neq 0 \quad \text{and} \quad v = (v_1, 0, v_3), v_0 = 0.$$

We prove an observability inequality for the adjoint system



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## Adjoint system and observability inequality

Dual variables:  $\varphi \leftrightarrow w$ ,  $\psi \leftrightarrow z$ ,  $\phi \leftrightarrow r$ ,  $\sigma \leftrightarrow q$

$$\begin{cases} -\varphi_t - \Delta\varphi + \nabla\pi_\varphi = g^\varphi + \psi \mathbb{1}_O, & \nabla \cdot \varphi = 0 & \text{in } Q, \\ \psi_t - \Delta\psi + \nabla\pi_\psi = g^\psi + (0, 0, \sigma), & \nabla \cdot \psi = 0 & \text{in } Q, \\ -\phi_t - \Delta\phi = g^\phi + \varphi_3 + \sigma \mathbb{1}_O & & \text{in } Q, \\ \sigma_t - \Delta\sigma = g^\sigma & & \text{in } Q, \end{cases}$$

with

$$\begin{cases} \varphi = \psi = 0, & \phi = \sigma = 0 & \text{on } \Sigma, \\ \varphi(T) = 0, & \psi(0) = \psi^0, & \phi(T) = 0, & \sigma(0) = \sigma^0 & \text{in } \Omega, \end{cases}$$

For general controls  $v = (v_1, v_2, v_3)$  and  $v_0$ :

$$\begin{aligned} \iint_Q \rho_1(t) (|\varphi|^2 + |\psi|^2 + |\phi|^2 + |\sigma|^2) &\leq C \iint_Q \rho_2(t) (|g^\varphi|^2 + |g^\psi|^2 + |g^\phi|^2 + |g^\sigma|^2) \\ &\quad + C \iint_{\omega \times (0, T)} \rho_3(t) (|\varphi_1|^2 + |\varphi_2|^2 + |\varphi_3|^2 + |\phi|^2) \end{aligned}$$

$$\rho_i(t) \sim \exp(-C_i/t^{10}(T-t)^{10})$$

Using energy estimate, we can change to  $\rho_i(t) \sim \exp(-C_i/t^{10})$

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$$(\partial_1^2 + \partial_2^2)\sigma = -(\partial_t^2 - \Delta^2)\Delta\varphi_3 + F(g^\varphi, g^\psi, g^\sigma) \quad \text{in } \omega \cap \mathcal{O}$$

- At this point, it only remains to add to the left-hand side the weighted norm of  $\phi$ .
- Cannot add a local term of  $\phi$ . No way to eliminate with coupling.  
Instead, we use energy estimates with weights like  $\rho(t) = \exp(-C/t^{10})$ :

$$\begin{cases} -(\rho\phi)_t - \Delta(\rho\phi) = \rho g^\phi + \rho\varphi_3 + \rho\sigma \mathbb{1}_{\mathcal{O}} - \rho'(t)\phi \\ (\rho\phi)|_\Sigma = 0, \quad \boxed{(\rho\phi)(T) = 0} \end{cases}$$

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# Outline

Introduction

Insensitizing controls for the Boussinesq system

Some comments, perspectives

## Perspectives

- ▶ Our method limits the quantity of vanishing components to two. Also, we need to have  $v_3$  or  $v_0$
- ▶ What about three vanishing components, e.g.,  $v = (0, 0, 0)$  and  $v_0$ ?  
One possibility: use the [Return method](#).
- ▶ Alternative: Insensitize the functional

$$J_\tau(y, \theta) := \frac{1}{2} \iint_{\mathcal{O} \times (0, T)} (|\nabla \times y|^2 + |\nabla \theta|^2) \, dx \, dt, \quad \mathcal{O} \subset \Omega.$$

Adjoint equation:

$$\begin{cases} -\varphi_t - \Delta \varphi + \nabla \pi_\varphi &= g^\varphi + \nabla \times ((\nabla \times \psi) \mathbf{1}_\mathcal{O}), & \nabla \cdot \varphi = 0 & \text{in } Q, \\ \psi_t - \Delta \psi + \nabla \pi_\psi &= g^\psi + (0, 0, \sigma), & \nabla \cdot \psi = 0 & \text{in } Q, \\ -\phi_t - \Delta \phi &= g^\phi + \varphi_3 + \nabla \cdot (\nabla \sigma \mathbf{1}_\mathcal{O}) & & \text{in } Q, \\ \sigma_t - \Delta \sigma &= g^\sigma & & \text{in } Q. \end{cases}$$

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Thank you for your attention